

# Proposal: Performance Tool Interface for Unified Parallel C (v1.1)

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## Abstract

Due to the wide range of compilers and the lack of a standardized performance tool interface, writers of performance tools face many challenges when incorporating support for Unified Parallel C (UPC) programs. This document presents a preliminary specification for a standard performance tool interface that attempts to be flexible enough to be adapted into current UPC compiler and runtime infrastructures with little effort, while allowing performance analysis tools to gather much information about the performance of UPC programs. We hope that the interface outlined in this document will be considered for inclusion into the UPC language specification.

## 1 Introduction

The Unified Parallel C (UPC) [1] language offers parallel programmers several advantages over other parallel languages that require programmers to manually handle communication between nodes. The global address space presented to UPC programmers provides them with a convenient environment similar to threaded programming on serial machines, but comes at a cost of increased complexity in UPC compilers and runtime systems. This gives parallel programmers a much-needed increase in productivity; however, since UPC compilers handle much of the low-level communication and work distribution details of UPC programs, it can be difficult or impossible for UPC programmers to determine how a given UPC program will perform at runtime.

Recent research has indicated that performance tuning for current generations of UPC compilers is critical in order to achieve comparable performance to MPI code, especially on cluster architectures [2]. Although recent work has produced several techniques to improve the performance of UPC compilers by taking advantage of specific architectures [3], employing TLB-like lookup tables for remote pointers [4], and applying optimizations like message aggregation [5], the UPC programmer's ability to exploit locality remains the most influential factor on overall UPC program performance.

The importance of performance analysis for UPC programs has also been aggravated by the lack of performance analysis tools supporting UPC. The relative newness of the UPC language compared with other programming libraries such as MPI is partly responsible for the lack of tool support, but tool developers face a major roadblock even if they wish to add UPC support in their tools: the UPC specification currently does not define a standard performance tool interface that can be used to portably gather performance information from UPC programs at runtime. The extensive and almost exclusive use of the MPI profiling interface [6] by MPI performance tools illustrates the usefulness of a common performance tool interface.

To rectify this situation, the UPC group at the University of Florida has developed a preliminary performance tool interface to accompany the UPC specification. In a nutshell, we are trying to help users answer the question "Why does my UPC program have bad performance?" by providing tool developers with a consistent interface so that their performance tools can help users identify and fix performance bottlenecks. We have examined several existing UPC implementations and have attempted to devise an interface that will have a minimum impact on existing compilers that target both shared-memory and distributed architectures. Since UPC is somewhat similar in spirit to OpenMP, we have tried to incorporate many ideas used for the OpenMP profiling interface [7] in our proposal.

*Note:* In order to keep the language of this document concise, we have adopted the following terminology. "UPC" refers to the Unified Parallel C language as defined by the current language specification (v1.2 at the time of writing).

```

int MPI_Send(void *buf, int count, MPI_Datatype datatype,
             int dest, int tag, MPI_Comm comm)
{
    int val;
    Record_message(comm, dest, count, buf);
    Timer_start("MPI_Send");
    val = PMPI_Send(buf, count, datatype, dest, tag, comm);
    Timer_end("MPI_Send");
    return val;
}

```

Figure 1: Example wrapper function

“Users” refers to individuals using a parallel language such as UPC. “Developers” refers to individuals who write parallel software infrastructure such as UPC compilers. “Tools” refers to performance analysis tools such as Vampir, TAU, or KOJAK, and “tool developers” refers to individuals who develop performance analysis tools.

## 2 Current challenges for UPC tool developers

MPI and other library-based parallel language extensions generally use a technique called interposition, which make developing “wrapper” libraries very straightforward. In the interposition technique, all library functions are available under two different names, usually by using weak bindings. When a tool developer wants to gather information about how much time is spent in a particular library call, they create their own version of the library that invokes the original function by using its alternate name and record how much time is taken for that function call. For example, Figure 1 illustrates a typical wrapper that can be used to record information about calls to `MPI_Send` for MPI programs. MPI tool developers can rely on the existence of a `PMPI_Send` binding in every MPI implementation which has the same functionality as the original `MPI_Send` function. Tool developers may place any code they wish in their wrapper library, which allows them to support both tracing and profiling. In addition, users of MPI tools need only to relink against a profiled MPI library in order to collect information about the behavior of MPI functions in their code.

UPC tool developers are not as fortunate. Since UPC is not merely comprised of library functions, the wrapper approach mentioned above does not present a tractable or even valid strategy for recording the behavior of UPC programs. Even for UPC implementations that encapsulate much behavior in library functions (such as Berkeley UPC [8] with GASNET [9]), no weak binding or interposition support is currently available in these libraries that could be used to write wrappers. In addition, different UPC compilers use vastly different techniques to translate UPC code into executables, ranging from source-to-source transformations to more direct compilation techniques. Therefore, tool developers are left with two options for collecting information about a UPC program: binary instrumentation and source instrumentation.

At first glance, binary instrumentation seems like a feasible instrumentation strategy. Libraries like DynInst [10] make it relatively easy to instrument a user’s program at runtime; since the user’s source code needs no modification this reduces the overhead imposed upon users. However, in order to insert instrumentation code, binary instrumentation requires tool developers to explicitly state *exactly* where they wish to insert their code in an executable. Since existing UPC compilers use both source-to-source transformations and direct compilation to translate UPC code into an executable, binary instrumentation for UPC programs will have to be added on a compiler-by-compiler basis after an extensive analysis of how that particular compiler translates every UPC construct into executable code. This presents a severe maintenance problem for tool developers: what happens when developers change their implementation internals between versions?

In short, tool developers adopting a binary instrumentation strategy will have to spend a large portion of their time keeping up with the internal workings of each UPC compiler they wish to support. In addition, binary instrumentation makes it very difficult to relate performance information back to actual lines in source code in a platform-independent way. Another drawback to binary instrumentation is the possibility that choosing instrumentation points in UPC binaries may be impossible without proprietary information from developers of commercial UPC compilers. Finally, while DynInst provides great functionality for tool developers wishing to use binary instrumentation, there are no plans

```

#include "upc.h"

shared int c = 44;
shared int f = 2;

int main() {
    f = ((2*c + 3) - (c + 2) == c) ? 4 : (c = 0);
    return 0;
}

```

Figure 2: UPC code with a complicated expression

to port it to Cray architectures that are not based on Linux, such as the Cray X1. The X1 and X1E provide excellent vehicles for running UPC code [3], so excluding tool support for these architectures would be disappointing to say the least.

Source instrumentation affords the most flexibility to the tool developer. With source instrumentation, a tool developer can record any data they wish, provided that the information can be extrapolated from a user’s code. However, with the increasing complexity of UPC runtime systems and the use of techniques such as remote reference caching, using source instrumentation alone limits a tool developer’s ability to find out when certain events *actually* occur instead of when the tool developer *thinks* they might occur. UPC’s relaxed memory model further blurs the distinction between the sequence of actions specified in the UPC source code and what actually happens at runtime.

Source instrumentation also places a higher technical burden on the tool writer. Since UPC allows users to treat remote pointers in the exact manner as built-in C pointers, source code instrumentation systems must be able to perform a *full* parse of a user’s UPC source code so that remote variable accesses can be differentiated from local variable accesses. Several complications arise when doing a full parse of a C program, including variable name shadowing (which implies that the parser must fully implement scoping rules), complicated user macros, and semantic analysis of complicated expressions involving both local and shared variables. In fact, even parsing straight ANSI C, a subset of the current C language specification, becomes difficult due to a few grammatical ambiguities, such as the “declaration/expression” problem [11]. In addition, most “real-world” code also uses compiler-specific extensions, such as those used by GCC and Microsoft’s compilers. Creating a parser that supports these extensions has been found to be very time-consuming and error-prone [12].

To give a concrete example of the possible difficulties in parsing a complicated expression, consider the code in Figure 2. Depending on the algorithms used to translate the UPC code into machine code, several different sequences of remote read and write operations are possible. In addition, compilers which can do complicated strength reductions may be able to reduce the first line of `main` to `f = 0; c = 0;`, which drastically reduces the number of remote memory references.

Neither source nor binary instrumentation represents an optimal path for tool developers wishing to add UPC support to their tools. The availability of a standard performance tool interface for UPC compiler and runtime systems would make tool developer’s jobs much easier and would allow them to easily add support for any compiler that implements the interface. We describe our ideas for such an interface in the next section.

### 3 Proposed performance tool interface

In order for a performance tool interface to be effective, it must meet several criteria and strike a balance between functionality for tool developers and ease of implementation for compiler developers. This section outlines a performance tool interface for UPC programs that tries to follow these design guidelines:

- *Flexibility* – For the interface to be useful, it must be flexible enough to support several different performance analysis methods. For example, some tools rely on capturing full traces of a program’s behavior, while others calculate statistical information at runtime and display it immediately after a program finishes executing. Our performance tool interface should support these two main modes of operation, and should not overly restrict the tool developer’s analysis options.

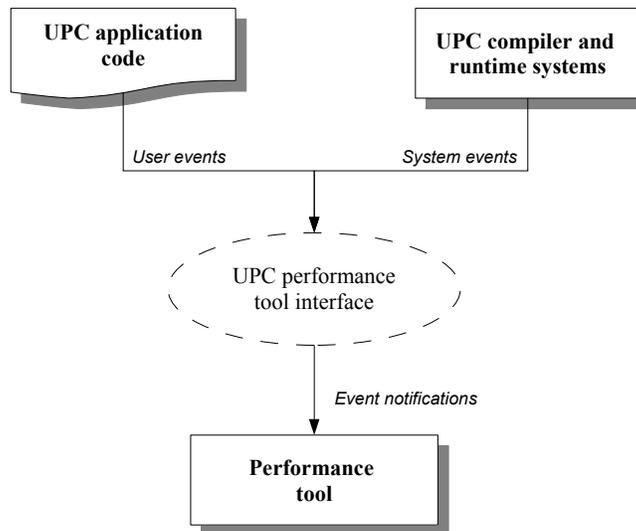


Figure 3: UPC performance tool interface interactions

- *Ease of implementation* – It is imperative to have the full support of UPC compiler developers for the performance tool interface. There are many existing UPC compilers that translate UPC code into executables using a wide variety of methods. We do not wish to alienate compiler writers by making our performance tool interface difficult to implement for a small group of unlucky compilers. In addition, many UPC compilers are proprietary, which limits the amount of help we can give in implementing the performance tool interface. Therefore, our interface should be as implementation-neutral as possible.
- *Low overhead* – Our performance tool interface should not drastically affect overall runtime of profiled UPC programs. Performance data collected for programs that do not exhibit similar behavior as their unprofiled counterparts is not very useful to users. Due to the fine-grained nature of most UPC programs, we do expect some perturbation of overall execution time for profiled programs. However, at every opportunity we wish to engineer solutions that minimize the effect instrumentation has on a user’s program.
- *Usefulness* – Performance tools need enough information to analyze so that they can present the user with potential problem areas in their application codes. Specifically, we feel it is absolutely necessary to incorporate source code correlation for data reported to the user down to the source line level.

The overall workflow for using the performance tool interface proceeds as follows. First, the user compiles their code using special compilation flags that instruct the compiler to instrument their code for use with a UPC performance tool, then links their code against a library provided by tool developers. When the user runs their program, the user’s program and UPC runtime system interact with the performance tool through the UPC performance tool interface, and the performance tool records appropriate performance data.

The UPC performance tool interface must define a way for UPC application code, UPC compiler and runtime systems, and performance tools to interact with each other. To accomplish this, the interface uses an event-based model to capture the behavior of a user’s UPC application. As a program executes, the performance tool receives event notifications that tell it what action the program is currently performing. These events can come from UPC application code directly (user-level events), or from the UPC compiler and runtime systems (system-level events). User-level events allow the user to pass application-specific information to the performance tool, such as which phase of computation their program is currently in. System-level events allow the UPC compiler and runtime system to inform the performance tool about events related to the UPC language, such as when communication takes place and the duration spent in `upc_forall` loops. Given this, the purpose of the UPC performance tool interface is therefore

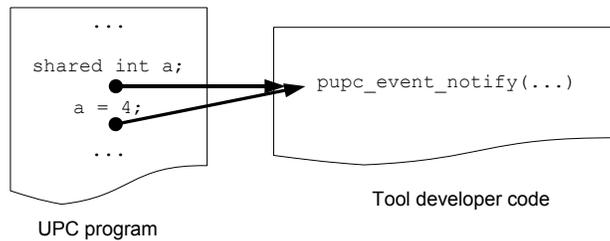


Figure 4: Logical structure of event notifications

to define a standard way for a performance tool to receive events from UPC application code and UPC compiler and runtime systems, as shown in Figure 3.

In the UPC performance tool interface, the interaction between these entities is accomplished by using a function callback, similar to function callbacks commonly used in graphical applications. A single function residing in the performance tool code, `pupc_event_notify`, is called each time a user or a system event occurs. In this manner, the callback function serves as the method for event notifications. However, unlike a traditional callback, the function that is called cannot be chosen at runtime; instead, for simplicity and efficiency, it must be chosen at link time. The term “callback” still is appropriate because it conveys the notion that the performance tool code is invoked during runtime by an outside entity (in this case, a UPC program or a UPC runtime system).

More details about the structure of the event notifications are discussed in Section 3.1, and the specifics of each system-level event are discussed in Section 3.2. Section 3.3 discusses a method for passing user-level events to performance tools. Mechanisms for controlling instrumentation and data collection are discussed in Section 3.4. Finally, since performance tools generally require an accurate measure of global time, the interface includes functions that can be used to measure the time taken between events. These functions are discussed in Section 3.5.

### 3.1 Event notification structure

The UPC performance tool interface uses a callback model to notify a performance tool when events happen during runtime. This affords a very flexible interface to both tool developers and compiler developers, even in the face of aggressive optimizations and differing implementations. The event-based model avoids the problems of the need for intimate details of every UPC compiler and runtime system as discussed before with strict binary instrumentation by defining a standard, flexible way of notifying a performance tool when actions occur at runtime. Very accurate source-level information can be preserved throughout aggressive statement reorderings, as long as an optimizing compiler keeps a map of original source code locations.

The logical structure of the event notifications is shown in Figure 4 for a simple UPC program that performs one write to a single shared variable. In the diagram, time increases as you travel downwards. The simple program shown declares a shared variable and assigns a value to it. At runtime, two events are generated by the UPC runtime system and sent to the `pupc_event_notify` function contained in the performance tool (in the library code that the user links against). These two events are represented by the arrows in the figure, and correspond to the start and finish of the shared variable assignment. The `pupc_event_notify` function will be called before and after assignment takes place. It does not matter which entity (UPC program code, UPC runtime system) performs the call; the only requirement is that the `pupc_event_notify` function gets called before and after the assignment takes place. In most real systems, the callbacks would occur from instrumentation code inserted into the user’s original program. The arguments to the `pupc_event_notify` function also include source-level information and a unique identifier that allows tool developers to distinguish between different types of events.

It is important to note that only a single function is invoked during the callback, and this function has the predetermined name `pupc_event_notify`. This callback model allows for tool developers to discard events they are not interested in, and also allows the same tool code to be used even after features are added to the UPC language. When new features are added, older versions of tool code will still continue to work as long as unknown event identifiers are ignored. The singular function callback does not restrict the performance tool interface as long as the C `vararg`

mechanism is used, which to our knowledge does not limit portability in any significant way.<sup>1</sup> The only drawback to this approach is that it requires extra CPU cycles to process the callback (the additional case statement and `vararg` processing), but the overhead should be minimal.

The full signature for the `pupc_event_notify` function is shown below:

```
void pupc_event_notify(unsigned int event_id, pupc_location* loc, ...);
```

It should be assumed that the `event_id` variable be at least 32 bits long. This definition should reside in a file called `pupc.h` which should be in the default include path of the UPC compiler. The `pupc.h` file should also contain definitions for the `pupc_location` struct, and macros that map the symbolic names of events to their appropriate values (see Section 3.2 for the names and values).

The format of the `pupc_location` struct is shown below:

```
struct pupc_location {
    const char* source_file;
    unsigned int start_line;
    unsigned int start_col;
    void* util;
};
```

The function of this struct is to uniquely identify the *original* source code location that was responsible for the event that caused a callback. If a compiler has reordered or restructured the original source for better performance, this should reflect the original source code line responsible for this callback as accurately as possible. The struct also contains a `void*` location that may be used by tool developers to store a pointer to a timer or other data structure. The structs should be statically allocated such that their memory locations could be used as a unique identifier for use with a dictionary-like data structure.

To ease development for tool developers, the `pupc_event_notify` function should be allowed to contain any valid UPC code. However, this function and any related support functions must be compiled with the same compiler that will be used to compile a user's UPC code, without using any special compilation flags defined in Section 3.4. Since a few existing UPC compilers are multithreaded, *all* code in the `pupc_event_notify` function and related support functions must be thread-safe, and only the thread that is activated during the callback may execute code that uses the UPC runtime system.

In order to support extension of the UPC performance tool interface by users and compiler developers, ranges for valid event identifiers should be established so that no conflicts arise from user- or compiler-specific event identifiers. All valid system-level events resulting from actions defined in the UPC specification should be in the range of `0x00000000` to `0x5FFFFFFF`. The range `0x60000000` to `0xBFFFFFFF` should be reserved for events specific to a UPC compiler/runtime system. For example, some UPC systems support caching of remote shared variable accesses; such systems may assign an identifier of `0x69000000` for a 32-bit integer cache miss and `0x69000001` for a 32-bit integer cache hit. Lastly, the event identifier range from `0xC0000000` to `0xFFFFFFFF` should be reserved for user-level events. A more formal method of acquiring user-level event identifiers and associating symbolic names with them in a performance tool is described in Section 3.3.

## 3.2 System-level events

In the following subsections, a standard set of events are presented that every UPC compiler and runtime system should support. Each event shown is assigned an identifier, and the details of the rest of the arguments from the `vararg . . .` in the `pupc_event_notify` function are detailed. Event identifiers are assigned to each event type using the following convention: the first two hex digits are used to group similar events together, the next four hex digits are used to group events related to a single action together, and the final two hex digits are used to distinguish between different events resulting from a single action (e.g., start and event events).

### 3.2.1 Initialization and completion events

Table 1 shows events defined by the UPC performance tool interface related to the beginning and end of a UPC program's execution.

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<sup>1</sup>Please let us know if not!

Symbolic name	Event identifier	vararg arguments
PUPC_INIT	0x00000000	<b>int</b> * argc, <b>char</b> *** argv
PUPC_GLOBAL_EXIT	0x00000100	<b>int</b> status

Table 1: Execution start and stop events

The PUPC\_INIT event should occur just after the UPC runtime library has been initialized so that tool developers may execute any initialization code they require. This event always has a NULL for the `pupc_location*` callback argument. The extra arguments for this event are pointers to the `argc` and `argv` arguments from the `main` function, which allows performance tool code to process command-line arguments.

Additionally, the PUPC\_GLOBAL\_EXIT event should occur at the end of program execution, even if the user makes no calls to `upc_global_exit` (in which case the `pupc_location*` pointer will be NULL). This enables tool developers to ensure that any cleanup code their tools require will always be called just before a UPC program finishes execution.

### 3.2.2 Synchronization events

Table 2 shows events defined by the UPC performance tool interface related to synchronization constructs in the UPC language specification.

Symbolic name	Event identifier	vararg arguments
PUPC_NOTIFY_START	0x01000000	<b>int</b> named, <b>int</b> expr
PUPC_NOTIFY_END	0x01000001	<b>int</b> named, <b>int</b> expr
PUPC_WAIT_START	0x01000100	<b>int</b> named, <b>int</b> expr
PUPC_WAIT_END	0x01000101	<b>int</b> named, <b>int</b> expr
PUPC_BARRIER_START	0x01000200	<b>int</b> named, <b>int</b> expr
PUPC_BARRIER_END	0x01000201	<b>int</b> named, <b>int</b> expr
PUPC_FENCE_START	0x01000300	(none)
PUPC_FENCE_END	0x01000301	(none)

Table 2: Synchronization events

These events occur before and after execution of the notify, wait, barrier, and fence synchronization statements. The first extra argument to the notify, wait, and barrier start and stop events should be true (nonzero) if the user has provided an integer expression for the corresponding notify, wait, and barrier statements. In this case, the `expr` variable should be set to the result of evaluating that integer expression. If the user has not provided an integer expression for the corresponding notify, wait, or barrier statements, the `named` argument should be false (zero) and the value of `expr` should be undefined.

### 3.2.3 Work-sharing events

Table 3 shows events defined by the UPC performance tool interface related to work-sharing constructs defined in the UPC language specification.

Symbolic name	Event identifier	vararg arguments
PUPC_FORALL_START	0x02000000	(none)
PUPC_FORALL_END	0x02000001	(none)

Table 3: Work-sharing events

These events occur before and after `upc_forall` constructs are executed. The timing of these events can help users determine if the affinity expression in the `upc_forall` construct is resulting in an even work distribution among different threads.

### 3.2.4 Library-related events

Table 4 shows events defined by the UPC performance tool interface related to the library functions defined in the UPC language specification.

Symbolic name	Event identifier	vararg arguments
PUPC_LOCK_START	0x03000000	<code>upc_lock_t*</code> lck
PUPC_LOCK_END	0x03000001	<code>upc_lock_t*</code> lck
PUPC_UNLOCK_START	0x03000100	<code>upc_lock_t*</code> lck
PUPC_UNLOCK_END	0x03000101	<code>upc_lock_t*</code> lck
PUPC_LOCK_ATTEMPT_START	0x03000200	<code>upc_lock_t*</code> lck
PUPC_LOCK_ATTEMPT_END	0x03000201	<code>upc_lock_t*</code> lck
PUPC_LOCK_INIT_START	0x03000300	<code>upc_lock_t*</code> lck
PUPC_LOCK_INIT_END	0x03000301	<code>upc_lock_t*</code> lck
PUPC_ALL_LOCK_ALLOC_START	0x03000400	(none)
PUPC_ALL_LOCK_ALLOC_END	0x03000401	(none)
PUPC_GLOBAL_LOCK_ALLOC_START	0x03000500	(none)
PUPC_GLOBAL_LOCK_ALLOC_END	0x03000501	(none)
PUPC_LOCK_FREE_START	0x03000600	<code>upc_lock_t*</code> lck
PUPC_LOCK_FREE_END	0x03000601	<code>upc_lock_t*</code> lck
PUPC_MEMCPY_START	0x03000700	shared <code>void*</code> dst, shared <code>const void*</code> src, <code>size_t</code> n
PUPC_MEMCPY_END	0x03000701	shared <code>void*</code> dst, shared <code>const void*</code> src, <code>size_t</code> n
PUPC_MEMGET_START	0x03000800	<code>void*</code> dst, shared <code>const void*</code> src, <code>size_t</code> n
PUPC_MEMGET_END	0x03000801	<code>void*</code> dst, shared <code>const void*</code> src, <code>size_t</code> n
PUPC_MEMPUT_START	0x03000900	shared <code>void*</code> dst, <code>const void*</code> src, <code>size_t</code> n
PUPC_MEMPUT_END	0x03000901	shared <code>void*</code> dst, <code>const void*</code> src, <code>size_t</code> n
PUPC_MEMSET_START	0x03000A00	shared <code>void*</code> dst, <code>int</code> c, <code>size_t</code> n
PUPC_MEMSET_END	0x03000A01	shared <code>void*</code> dst, <code>int</code> c, <code>size_t</code> n

Table 4: Library-related events

These events stem directly from the UPC library functions defined in the UPC specification. The vararg arguments for each event callback mirror those defined in the UPC language specification.

### 3.2.5 Direct shared variable access events

Table 5 shows events defined by the UPC performance tool interface related to direct shared variable accesses.

Symbolic name	Event identifier	vararg arguments
PUPC_STRICT_GET_START	0x04000000	<b>void*</b> dst, shared <b>const void*</b> src, size_t n, <b>const char*</b> c_type, <b>const char*</b> c_name
PUPC_STRICT_GET_END	0x04000001	<b>void*</b> dst, shared <b>const void*</b> src, size_t n, <b>int</b> relaxed, <b>const char*</b> c_type, <b>const char*</b> c_name
PUPC_RELAXED_GET_START	0x04000002	<b>void*</b> dst, shared <b>const void*</b> src, size_t n, <b>const char*</b> c_type, <b>const char*</b> c_name
PUPC_RELAXED_GET_END	0x04000003	<b>void*</b> dst, shared <b>const void*</b> src, size_t n, <b>int</b> relaxed, <b>const char*</b> c_type, <b>const char*</b> c_name
PUPC_STRICT_PUT_START	0x04000100	shared <b>const void*</b> dst, <b>void*</b> src, size_t n, <b>const char*</b> c_type, <b>const char*</b> c_name
PUPC_STRICT_PUT_END	0x04000101	shared <b>const void*</b> dst, <b>void*</b> src, size_t n, <b>const char*</b> c_type, <b>const char*</b> c_name
PUPC_RELAXED_PUT_START	0x04000102	shared <b>const void*</b> dst, <b>void*</b> src, size_t n, <b>const char*</b> c_type, <b>const char*</b> c_name
PUPC_RELAXED_PUT_END	0x04000103	shared <b>const void*</b> dst, <b>void*</b> src, size_t n, <b>const char*</b> c_type, <b>const char*</b> c_name

Table 5: Direct shared variable access events

These events occur whenever shared variables are assigned to or read from using the direct syntax (not using the `upc.h` library functions). The arguments to these events mimic those of the `upc_memget` and `upc_memput` event callback arguments, but differ from the ones presented in the previous section because they only arise from accessing shared variables directly (e.g., the code shown in Figure 4). If the memory access occurs under the strict memory model, the PUPC\_STRICT events are sent; otherwise the PUPC\_RELAXED events are sent. The final two arguments,

`c_type` and `c_name`, are the character string representations of the shared variable’s name and type that caused the event. Encoding the variable’s type into the callback allows the use of only eight events for all shared variable accesses, instead of requiring four events for each different type of variable.

### 3.2.6 Function events

Table 6 shows events defined by the UPC performance tool interface related to user functions.

Symbolic name	Event identifier	vararg arguments
PUPC_USERFN_START	0x05000000	<b>const char*</b> funcname
PUPC_USERFN_END	0x05000001	<b>const char*</b> funcname

Table 6: Function events

These events occur when a user function starts and finishes executing. The `funcname` argument specifies the character string representing which function is executing. While these events could be considered user-level events, they are included as system-level events because they occur from constructs provided by the UPC language specification (namely, function definitions). Note that this instrumentation will become very expensive when a user’s program contains a lot of “lightweight” functions that are called repeatedly. In this case, the user should use the mechanisms described in Section 3.4 to prevent instrumentation of their lightweight functions.

### 3.3 User-level events

While instrumenting all UPC constructs in UPC code can shed light on a program’s performance, there are undoubtedly groups of code that can be logically grouped together in application-specific ways. For instance, a program may be split into several phases, including an initialization phase and several computation phases. The performance tool interface includes a set of functions that allow users to track this time separately and associate user-friendly names with the recorded events. The signatures for these functions are shown below:

```

unsigned int pupc_create_event(const char* name);
void pupc_event_start(unsigned int evtid, ...);
void pupc_event_end(unsigned int evtid, ...);

```

The `pupc_create_event` function generates a unique event identifier (in the range of valid user events) and returns it in the form of an unsigned integer that is at least 32 bits long. This function also takes an argument that gives a user-friendly name to the event, which should be used by a performance tool when displaying performance information about this event start and end pair. The `pupc_start` and `pupc_end` functions are placed before and after the section of code the user is interested in, and are translated into `pupc_event_notify` calls with appropriate `pupc_location*` instances during compilation by the UPC compiler. The rest of the arguments to the start and end calls (the `vararg` arguments) are reserved for tool-specific purposes. The `pupc_create_event` function prototype should reside in a file named `pupc.h`, but this function should only be defined in the tool developer library and not the UPC system libraries. In summary, users place `pupc_create_event`, `pupc_start`, and `pupc_end` calls in their code. Tool developers provide a definition for `pupc_create_event`, and UPC compilers translate the `pupc_start` and `pupc_end` function calls into corresponding `pupc_event_notify` calls.

### 3.4 Instrumentation and measurement control

This section presents methods that allow users to reduce the overhead of running instrumented versions of their UPC programs. Any performance tool interface will undoubtedly introduce runtime overhead for any given UPC program, especially for fine-grained programs that use a large number of remote accesses. By incorporating standard methods for controlling instrumentation of a UPC program, users are not forced to endure additional overhead on production-level code. This effectively allows users to “compile out” unwanted instrumentation perturbations. Additionally, providing methods for turning data collection on and off in a performance tool allows users to optimize the amount of data collected at runtime by a performance tool.

### 3.4.1 Instrumentation control

Compilers should provide a `--profile` flag (or a `-profile` flag if using traditional flag styles) that controls whether a user's program will be instrumented. When a user passes the `--profile` flag to the compiler, the compiler should instrument *all* constructs defined in Section 3.2.

Additionally, compilers should also provide a mechanism that allows users to specify an inclusive list which events they wish to instrument, instead of instrumenting everything by default. This should be controlled by a `--profile-partial` flag that takes a single argument, which is a file containing a list of events that should be instrumented by the compiler (all other events should not be instrumented). This file should have a list of symbolic names that the user wishes the compiler to instrument their code for, excluding the `START` and `END` prefixes. As an example, a file with the following contents:

```
PUPC_FORALL
PUPC_MEMCPY
```

instructs the compiler that only the `upc_forall` and `upc_memcpy` instructions should be instrumented. The compiler should always insert instrumentation code for the `PUPC_INIT` and `PUPC_GLOBAL_EXIT` events if one of the `--profile` or `--profile-partial` flags is used. Note that the `--profile` and `--profile-partial` flags are mutually exclusive.

Similar to the `--profile-partial` flag, a `--exclude-functions` flag should also be available from the compiler. This flag should also take a single argument that is a file containing a list of functions that should *not* be instrumented with function entry/exit points when the `--profile` flag is also used. For example, a file with the following contents:

```
lightweight_function1
lightweight_function2
```

instructs the compiler to not instrument `lightweight_function1` and `lightweight_function2` with `PUPC_USERFN_START` and `PUPC_USERFN_END` event callbacks. Any other UPC constructs in those functions *will* be instrumented, subject to the other rules outlined in this section.

Finally, the compiler should provide directives that allow a user to specify regions of code that should not be instrumented. These directives should take precedence over any command-line arguments. The `#pragma pupc off` directive instructs the compiler not to insert *any* instrumentation code in a user's program until it reaches a `#pragma pupc on` directive. This mechanism should be handled entirely by the UPC compiler during the compilation process. If the compiler reaches a `#pragma pupc off` directive while in the middle of instrumenting a prior construct, it should finish instrumenting the construct and not instrument any other constructs from that point on.

### 3.4.2 Measurement control

At certain points during runtime users may wish to turn off recording data to minimize the data collected during runtime. The UPC performance tool interface defines the following function that enables the user to instruct a performance tool to start and stop collecting data:

```
void pupc_control(int on);
```

This function should have a prototype in `pupc.h`, but should only be defined in the tool developer's library. The `on` argument should be true (nonzero) when a user wishes a performance tool to record runtime events that the compiler has instrumented. This argument should be set to false (zero) when a user wishes a performance tool to discard all performance data. This mechanism should be handled entirely by the performance tool library, and should be ignored by the UPC compiler. This function call does not control instrumentation at all; rather, it controls when performance data should be recorded by a performance tool at runtime. By default, a performance tool should record all performance data unless it receives a `pupc_control(0)` call. Note that calling `pupc_control` while there are still pending `END` events (for example, inserting it inside a `upc_forall` loop) will cause a performance tool to have undefined behavior.

## 3.5 Timing functions

Access to global time information is vital for tool developers so that they may analyze the time differentials between events consistently across all UPC application threads. By including a few simple functions in the performance tool

interface, we allow tool developers to exploit any high-resolution global timers available on each platform without having to worry about portability. Indeed, even normal UPC programs could benefit from an easy-to-use global time function when using `printfs` to troubleshoot slow UPC code. The function signatures for these timing functions are shown below<sup>2</sup>:

```
pupc_tick_t pupc_ticks_now();
unsigned int pupc_ticks_to_us(pupc_tick_t ticks);
unsigned int pupc_tick_granularityus();
```

The `pupc_tick_t` can be any type, but this type should be defined in `pupc.h` and should allow adding and subtracting `pupc_tick_t` as though it were a numeric type. The function prototypes above should also appear in the `pupc.h` file. The `pupc_ticks_now` function returns the current timer as represented by an instance of a `pupc_tick_t` variable. The `pupc_ticks_to_us` function converts a difference in `pupc_tick_t` variables to microseconds of wallclock time, and the `pupc_tick_granularityus` function returns the granularity of the wallclock in microseconds.

All timing functions should be implemented by the UPC compiler or runtime system, and the UPC compiler should take care of linking appropriate platform-specific timing routines to the `pupc_tick` functions listed above during the compilation process. This should require no special compilation flags.

## 4 Examples

To provide a concrete example of how the UPC performance tool interface would work on actual programs, this section presents examples of how a compiler might translate a few simple UPC programs by adding appropriate calls to the profiling functions. We assume that the program will be compiled and run under the strict memory model, and that a source-to-source transformation will be used to insert instrumentation code following the same instrumentation rules under the `--profile` compiler flag discussed in section 3.4.1.

### 4.1 Example 1

Consider the simple UPC program `main1.c` listed below, with line numbers included for reference.

```
1 #include "upc_strict.h"
2
3 shared int a;
4
5 int main() {
6     a = 33 / 3;
7     return 1 + 1;
8 }
```

Based on the interface defined in the previous section, the instrumented source code containing hooks into the UPC performance tool interface will look like the following UPC code.

```
1 #include "upc_strict.h"
2 #include "pupc.h"
3
4 shared int a;
5
6 struct pupc_location loc1;
7
8 int main() {
9     loc1.source_file = "main1.c";
10    loc1.start_line = 6; loc1.start_col = 3;
11
12    pupc_event_notify(PUPC_INIT, NULL, NULL, NULL);
```

---

<sup>2</sup>These are heavily based on the Berkeley UPC high-resolution timing functions

```

13
14  int _tmp1 = 33 / 3;
15
16  pupc_event_notify(PUPC_STRICT_PUT_START, &loc1,
17                   &a, &_tmp1, sizeof(int),
18                   "shared int", "a");
19  a = _tmp1;
20  pupc_event_notify(PUPC_STRICT_PUT_END, &loc1,
21                   &a, &_tmp1, sizeof(int),
22                   "shared int", "a");
23
24  int _tmp2 = 1 + 1;
25  pupc_event_notify(PUPC_GLOBAL_EXIT, NULL, _tmp2);
26  return _tmp2;
27 }

```

The instrumented version starts off by sending a PUPC\_INIT event to the pupc\_event\_notify callback function on line 12. Since this main function does not take in command-line arguments, we pass in NULL for the two extra arguments to the PUPC\_INIT argument. We also see the assignment on line 19 has been instrumented, and that a PUPC\_GLOBAL\_EXIT event is inserted before the final return statement of the program.

This example brings up an interesting issue: what happens when a “direct” shared variable access (a system-level event defined in Section 3.2.5) contains a nontrivial expression (line 19)? In this example, temporary variables are used to store the result of evaluating the expression so that the time taken for evaluating that expression is kept separate from the time needed to write to that shared variable. A temporary variable is also used in the return statement to avoid evaluating the return expression more than once, which may have unintended side effects.

## 4.2 Example 2

Consider the simple UPC program main2.c listed below, with line numbers included for reference.

```

1  #include "upc_strict.h"
2  #include "pupc.h"
3
4  shared int a[5*THREADS];
5  shared int b = 0;
6  int c = 0;
7
8  void myfn() {
9    c++;
10 }
11
12 int main(int argc, char** argv) {
13   int i;
14   int evid = pupc_create_event("Custom event");
15
16   upc_fence;
17
18   sleep(1);
19
20   upc_forall(i = 0; i < 5*THREADS; i++; &a[i]) {
21     a[i] = 44;
22     if (MYTHREAD == 1) {
23       b = i;
24     }
25     pupc_event_start(evid, NULL);

```

```

26     c--;
27     pupc_event_stop(evid, NULL);
28 }
29
30 #pragma pupc off
31 if (MYTHREAD == 1) {
32     a[0]++;
33 }
34 #pragma pupc on
35
36 return 0;
37 }

```

Based on the interface defined in the previous section, the instrumented source code containing hooks into the UPC performance tool interface will look like the following UPC code.

```

1 #include "upc.h"
2 #include "pupc.h"
3
4 shared int a[5*THREADS];
5 shared int b = 0;
6 int c = 0;
7
8 struct pupc_location loc1, loc2, loc3, loc4, loc5, loc6;
9
10 void myfn() {
11     pupc_event_notify(PUPC_USERFN_START, &loc6, "void myfn()");
12     c++;
13     pupc_event_notify(PUPC_USERFN_END, &loc6, "void myfn()");
14 }
15
16 int main(int argc, char** argv) {
17     int i;
18
19     loc1.source_file = loc2.source_file = loc3.source_file
20         = loc4.source_file = loc5.source_file
21         = loc6.source_file = "main2.c";
22
23     loc1.start_line = 16; loc1.start_col = 3;
24     loc2.start_line = 20; loc2.start_col = 3;
25     loc3.start_line = 23; loc3.start_col = 7;
26     loc4.start_line = 25; loc4.start_col = 5;
27     loc5.start_line = 27; loc5.start_col = 5;
28     loc6.start_line = 8; loc6.start_col = 1;
29
30     pupc_event_notify(PUPC_INIT, NULL, &argc, &argv);
31
32     pupc_event_notify(PUPC_FENCE_START, &loc1);
33     upc_fence;
34     pupc_event_notify(PUPC_FENCE_END, &loc1);
35
36     sleep(1);
37
38     pupc_event_notify(PUPC_FORALL_START, &loc2);
39     upc_forall(i = 0; i < 5*THREADS; i++; &a[i]) {

```

```

40     a[i] = 44;
41     if (MYTHREAD == 1) {
42         pupc_event_notify(PUPC_STRICT_PUT_START, &loc3,
43                          &b, &i, sizeof(int),
44                          "shared int", "b");
45         b = i;
46         pupc_event_notify(PUPC_STRICT_PUT_END, &loc3,
47                          &b, &i, sizeof(int),
48                          "shared int", "b");
49     }
50     pupc_event_notify(evid, &loc4, NULL);
51     c--;
52     pupc_event_notify(evid, &loc5, NULL);
53 }
54 pupc_event_notify(PUPC_FORALL_END, &loc2);
55
56 if (MYTHREAD == 1) {
57     a[0]++;
58 }
59
60 int _tmp1 = 0;
61 pupc_event_notify(PUPC_GLOBAL_EXIT, NULL, _tmp1);
62 return _tmp1;
63 }

```

Comparing the instrumented version to the uninstrumented version, we see that the `myfn` function has been instrumented (line 10 of the translated version). We also see the `pupc_location` structs initialized at the beginning of `main` (lines 19-28), and a `PUPC_INIT` event sent to the performance tool (line 30). The `upc_fence` on line 33 has also been instrumented, but since the `PUPC_FENCE` events do not require any additional `vararg` arguments, only the event identifier and the source location are passed as arguments. In a very similar manner, the entire `upc_forall` loop has been instrumented (lines 38-54).

In this example, we assume that the assignment on line 40 (`a[i] = 44;`) was recognized by the instrumentor to be a local assignment and was not instrumented. Since the assignment on line 45 is always a remote assignment (by default, shared scalars are allocated on the first thread), that assignment has been instrumented (lines 42-46). The statement on line 51 is also instrumented; even though there is no UPC-related code here, the user has created a custom event and has inserted `pupc_event_start` and `pupc_event_stop` calls in the original source code. We see on lines 50 and 52 these calls have been translated to appropriate `pupc_event_notify` calls. Notice that both translated calls have the same event identifier. Performance tool code can distinguish user start and stop events by examining their differing `pupc_location` structs.

We see that the code on lines 56-58 has not been instrumented at all. As discussed in Section 3.4.1, since the `#pragma upc` takes precedence over all other instrumentation controls, the remote increment on line 57 is not instrumented. Finally, we see on line 61 that the `PUPC_GLOBAL_EXIT` event is passed to the performance tool code. As before, we store the expression handed to the return statement so we do not inadvertently evaluate that expression more than once.

## 5 Open issues

One possible limitation of our interface is that it does not support time-based sampling. Time-based sampling of various aspects of a program's execution can successfully be used to give a rough picture of a program's runtime behavior. For example, sampling a program's callstack and performing a statistical analysis of those callstack samples can identify program counter addresses (and thus lines of source code) that make a significant contribution to a program's overall runtime. In a similar manner, sampling hardware counter values such as cache miss data can indicate portions of a program that have poor locality. While sampling can provide some valuable information about a program's execution characteristics with relatively low overhead, many types of performance problems cannot be adequately captured

by sampled data. Communication bottlenecks, for instance, can be extremely difficult to fix when only sampled data is available because that data represents only a rough approximation of what actually happens during runtime. Additionally, fine-grained applications can require a high sampling frequency in order to accurately capture runtime behavior, which introduces large runtime overhead, thus making the sampled data inaccurate.

This is not to say sampling represents a poor design choice when creating a performance tool. Several very popular sequential profiling tools including gprof have used a sample-based approach with much success. However, in the context of a performance tool interface, a sample-only approach is too restrictive to support the several different types of existing performance tools. For example, KOJAK relies on having a complete trace of a program's execution available; an interface that only supports time-based sampling would prevent the KOJAK developers from adding UPC support. We are open to adding a standard sampling method to this performance tool interface proposal. Our current thoughts are that adding such a standard sampling interface might be difficult to accomplish, given the wide nature of existing UPC systems. Any ideas here are much appreciated!

The example in Section 4.1 brings up the issue of how to handle instrumenting complicated expressions, especially ones that may contain several remote reads and writes. Ideally, the performance tool code should receive  $2n$  events for every expression, where  $n$  is the number of remote accesses involved ( $2n$  for the start and stop pairs). However, for source-to-source compilers, this might pose a large technical hurdle, as complicated expressions might have to be simplified down into a series of simple expressions instead of being left as one complicated expression (as is usually the case from the source-to-source systems we have examined). For example, how should the code in Figure 2 be instrumented inside a source-to-source translation system?

The example in Section 4.2 brings up an instrumentation choice related to user function calls (events from Section 3.2.6) – should a user's function always be instrumented, or should all callsites to that function be instrumented instead?

Finally, instead of using a single callback function, a pair of callback functions (say `pupc_event_start` and `pupc_event_end`) may be used instead. While this would not require much change to the specification other than reducing every start and end event to a single event identifier, it might be slightly more efficient at runtime because the `vararg` function arguments would only have to be passed in to the `pupc_event_start` function only. However, not all possible events come in pairs, so mapping events such as remote reference cache misses might be awkward.

## 6 Conclusions

We have presented a performance tool interface for UPC codes that should enable tool developers to easily add support for UPC programs in their tools. The interface is flexible enough to support both profiling and tracing UPC programs, and should impose only a slight overhead on compiler developers.

We welcome (and encourage!) any constructive criticism of this proposal, especially from compiler writers. We have made some assumptions in this document, and if they are incorrect please let us know! We would like to work as closely as possible with UPC compiler developers to ensure that the UPC performance tool interface becomes incorporated into the UPC language specification.

## Acknowledgements

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